PTO/SB/05 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to resp

## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.	510.1078				
First Inventor	BLANK et al.				
Title	FUEL CELL HAVING A PREHEATING ZONE				
Express Mail Label No.	EV 319059522 US				

(Only for new nonprovision	nal applications under 37	C.F.R. 1.53(b))	Express Mail	Label No.	EV 3	19059522 U	ys		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			ADDF	ADDRESS TO:  Commissioner for Patents  Mail Stop Patent Application P.O. Box 1450  Alexandria VA 22313-1450					
1. See Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C.113) [Total Sheets 1] 5. Oath or Declaration [Total Pages 1]  a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76				7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
19 CORRESPONDENCE ADDRESS									
☐ Customer Number or Bar Code Label  23280  PATENT TRADEMARK OFFICE									
Name ————	avidson & Kappel, Ll	LC							
Address William C. G 485 Seventh 14 <sup>th</sup> Floor									
City New York		State	NY		Zin (	Code	10018		
Country USA		Telephone	(212) 736	-1940	Fax		(212) 736-2427		
Name (Print/Type)	William C. Gehris	<del> </del>	Registration No. (Attorney/Agent) 38,156						
Signature MLC				<del>- ,</del> -		Date	July 17, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>№ FEE TRANSMITTAL</b>		Complete if Known						
	A	pplicatio	n Num	ber	To be assigned			
for FY 2003  Effective 01/01/2003 Patent fees are subject to annual revision.	F	Filing Date			Herewith			
Effective 01/01/2003. Patent fees are subject to annual revision.	_	First Named Inventor			BLANK et al.			
		Examiner Name			To be assigned			
Applicant claims small entity status. See 37 CFR 1.27	<del> </del>				To be assigned			
<del></del>								
TOTAL AMOUNT OF PAYMENT (\$) 750	^	Attorney Docket No. 510.1078						
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None		3. ADDITIONAL FEES Large Entity Small Entity						
Deposit Account:	Fee	Fee	Fee	Fee				
Deposit	Code	(\$)_	Code		Fee Description	Fee Paid		
Account 50-0552	1051	130	2051		Surcharge - late filing fee or oath			
Number Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Account Davidson & Kappel, LLC	1053	130	1053	130	Non-English specification			
Name The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filing fee	1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action			
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month			
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month			
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month			
Large Entity Small Entity	1254	1,450	2254	725	Extension for reply within fourth month			
Fee Fee Fee Fee <u>Fee Description</u> Fee Code (\$) Code (\$)	1255	1,970	2255	985	Extension for reply within fifth month			
1001: 750   2001   375   Utility filing fee   750	1401	320	2401	160	Notice of Appeal			
1002 330 2002 165 Design filling fee	1402	320	2402	160	Filing a brief in support of an appeal			
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing			
1004 750 2004 375 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$) 750	1453	1,300	2453	650	Petition to revive – unintentional			
	1501	1,300	2501	650	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	470	2502	235	Design issue fee			
Extra Fee from Fee Claims below Paid	1503	630	2503	315	Plant issue fee			
Total Claims 10 -20 ** = 0 X 18 = 0	1460	130	1460	130	Petitions to the Commissioner			
Independent Claims 2 -3 ** = 0 X 84 = 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)			
Claims 2 -3 ** = 0 X 84 = 0	1806	180	1806	180	Submission of Information Disclosure Stmt			
Multiple Dependent = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	0		
Large Entity Small Entity  Fee Fee Fee Fee Fee Pee Description	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
1201 84 2201 42 Independent claims in excess of 3	1801	750	2801	375	Request for Continued Examination (RCE)			
1203 280 2203 140 Multiple dependent claim, if not paid	•	! ' '				<del>                                     </del>		
1204 84 2204 42 ** Reissue independent claims over original patent	1802 900 1802 900 Request for expedited examination of a design application							
05 18 2205 9 ** Reissue claims in excess of 20 and over original patent Other fee (specify)								
SUBTOTAL (2) (\$) 0 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0								

SUBMITTED BY Complete (if applicable) Name (Print/Type) William C. Gehris Registration No. Attorney/Agent) 38,156 Telephone (212) 736-1940 Signature July 17, 2003 Date

\*\*or number previously paid, if greater; For Reissues, see above

(\$) 0

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Certificate of Mailing By Express Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to:

Mail Stop: PATENT APPLICATION
Commissioner for Patents

P.O. Box 1450; Alexandria, VA 22313-1450

on July 17, 2003.

Date

Kareem Stevens

Signature

Typed or printed name of person signing Certificate

EV 319059522 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing by Express Mail, or this certificate must identify

each submitted paper.

Re.: Docket No.: 510.1078

Applicant(s): BLANK et al. Serial No.: To be assigned

Invention: FUEL CELL HAVING A PREHEATING ZONE

Filing Date: Herewith

- Utility Application Transmittal (1 page);

- Fee Transmittal (1 page);

- Specification with Claims and Abstract (9 pages);
- One (1) Sheet of Drawings;
- Unexecuted Declaration and PoA (1 page);
- Application Data Sheet (2 pages);
- Letter re: Priority (1 page);
- Information Disclosure Statement w/ PTO-1449 and Cited References;
- Return Receipt Postcard;
- Check for \$750.00: